



Group Check ID

G200703210000067

Unified Registration Statement (URS) for Charitable Organizations (v. 3.02)

[X] Initial registration [] Renewal/Update

This URS covers the reporting year, which ended (day/month/year): N/A

Filer EIN: 57-0952531

State: New York State ID:

1. Organization's legal name: State Policy Network

If changed since prior filings, previous name used:

All other name(s) used:

2. (A). Street address: 6255 Arlington Blvd

City: Richmond County: Contra Costa

State: CA Zip Code: 94805

(B). Mailing address (if different): PO Box 5208

City: Richmond County: Contra Costa

State: CA Zip Code: 94805

3. Telephone number(s): (510) 965-9700 Fax number(s): (510) 965-9701

E-mail: sharp@spn.org Web site(s): www.spn.org

4. Names, addresses (street & P.O.), telephone numbers of other offices/chapters/branches/affiliates

See Attachment A.

5. Date incorporated: 3/24/92 State of incorporation: South Carolina

Fiscal year end: day/month: 31/12

6. If not incorporated, type of organization, state, and date established:

7. Has organization or any of its officers, directors, employees or fund raisers:

(A) Been enjoined or otherwise prohibited by a government agency/court from soliciting? Yes [] No [X]

(B) Had its registration been denied or revoked? Yes [] No [X]

(C) Been the subject of a proceeding regarding any solicitation or registration? Yes [] No [X]

(D) Entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency? Yes [] No [X]

(E) Applied for registration or exemption from registration (but not yet completed or obtained)? Yes [X] No []

(F) Registered with or obtained exemption from any state or agency? Yes [] No [X]

(G) Solicited funds in any state? Yes [] No [X], but plan to begin to solicit in February 2007

If "yes" to 7A, B, C, D, E, attach explanation. See Attachment A

If "yes" to 7F & G, attach list of states where registered, exempted, or where it solicited, including registering agency, dates of registration, registration numbers, any other names under which the organization was/is registered, and the dates and type (mail, telephone, door to door, special events, etc.) of the solicitation conducted.

8. Has the organization applied for or been granted IRS tax-exempt status? Yes [X] No []

If yes, date of application OR date of determination letter: 3/24/92

If granted, exempt under 501(c) (3). Are contributions to the organization tax deductible? Yes [X] No []

9. Has tax-exempt status ever been denied, revoked, or modified? Yes No

10. Indicate all methods of solicitations:

Mail Telephone Personal Contact Radio/TV Appeals Special Events
 Newspaper/Magazine Ads Other(s) (specify) Internet website: spn.org

11. List the NTEE code(s) that best describes your organization: Y _____

12. Describe the purposes and programs of the organization and those for which funds are solicited (*attach separate sheet if necessary*).

Purpose:

State Policy Network serves as a leadership training center and resource clearinghouse for the state-based, free market think tank industry.

Program:

State Policy Network organizes several training and networking programs and conferences throughout the year for free market think tanks. It also develops and distributes management training materials and resources to improve the effectiveness of think tank leaders. Mentorship programs are also available for start-up and young organizations.

13. List the names, titles, addresses, (street & P.O.), and telephone numbers of officers, directors, trustees, and the principal salaried executives of organization (*attach separate sheet*). **See Attachment A.**

14 (A) (1). Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (i) any other officer, director, trustee or employee OR (ii) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (iii) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization? Yes No

(2). Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (ii) or (iii) above OR serve as an officer, director, partner or employee of a business described in (ii) or (iii) above? Yes No

(If yes to any part of 14A, *attach sheet* that specifies the relationship and provides the names, businesses, and addresses of the related parties).

(B). Have any of the organization's officers, directors, or principal executives been convicted of a misdemeanor or felony? (*If yes, attach a complete explanation.*) Yes No

15. *Attach separate sheet listing names and addresses (street & P.O.) for all below: See Attachment A*

| | |
|---|--|
| Individual(s) responsible for custody of funds. | Individual(s) responsible for distribution of funds. |
| Individual(s) responsible for fund raising. | Individual(s) responsible for custody of financial records. |
| Individual(s) authorized to sign checks. | Bank(s) in which registrant's funds are deposited (<i>include account number and bank phone number</i>). |

16. Name, address (street & P.O.), and telephone number of accountant/auditor.

Name: Ambassador Accounting – Stephanie Christianson

Address: 7521 Presidential Lane

City: Manassas State: VA Zip Code 20109-2629 Telephone (703) 392-0383

Method of accounting: accrual

17. Name, address (street & P.O.), and telephone number of person authorized to receive service of process or registered agent. *This is a state-specific item. See instructions.*

Name: _____

Address: _____

City: _____ State: _____ Zip Code: _____ Telephone: _____

- 18. (A). Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)? Yes No
 - (B). Does the organization share revenue or governance with any other non-profit organization? Yes No
 - (C). Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization? Yes No
- (If "yes" to A, B or C, *attach an explanation* including name of person or organization, address, relationship to your organization, and type of organization.) **See Attachment B**

- 19. Does the organization use volunteers to solicit directly? Yes No
- Does the organization use professionals to solicit directly? Yes No

20. If your organization contracts with or otherwise engages the services of any outside fundraising professional (such as a "professional fundraiser," "paid solicitor," "fund raising counsel," or "commercial co-venturer"), *attach list* including their names, addresses (street & P.O.), telephone numbers, and location of offices used by them to perform work on behalf of your organization. Each entry *must include* a simple statement of services provided, description of compensation arrangement, dates of contract, date of campaign/event, whether the professional solicits on your behalf, and whether the professional at any time has custody or control of donations. **See Attachment C**

- 21. Amount paid to PFR/PS/FRC during previous year: \$ 0
- 22. (A). Total contributions: \$ 972,162
- (B). Program service expenses \$ 454,638
- (C). Management & general expenses: \$ 166,164
- (D). Fundraising expenses: \$ 82,208
- (E). Total Expenses: \$ 703,010
- (F). Fundraising costs as a percentage of funds raised: 8.5%
- (G). Fundraising costs plus management & general costs as a percentage of funds raised: 25.5%
- (H). Program services as a percentage of expenses: 64.67%

Under penalty of perjury, I certify that the above information and the information contained in any attachments are true, correct, and complete.

Sworn to before me on (or signed on) /2006

Sworn to before me on (or signed on) /2006

Notary Public (if required)

Notary Public (if required)

Tracie J Sharp Name (printed)
Tracie J Sharp Name (signature)
Title (printed) President

GISELE HUFF Name (printed)
Gisele Huff Name (signature)
Title (printed) TREASURER

Consult the state-by-state appendix to the URS to determine whether supporting documents, supplementary state forms or fees must accompany this form. Before submitting your registration, make sure you have attached or included everything required by each state to the respective copy of the URS. Attachments may be prepared as one continuous document or as separate pages for each item requiring elaboration. In either case, please number the response to correspond with the URS item number.

Attachment A**4. Names, addresses (street & P.O.), telephone numbers of other offices/chapters/branches/affiliates**

State Policy Network
2000 North 14th Street, Suite 550
Arlington, VA 22201
(703) 243-1655

State Policy Network
3315 Eastview Avenue
West Palm Beach, FL 33407
(561) 352-0011

7. (E) Applied for registration or exemption from registration (but not yet completed or obtained)?

State Policy Network has submitted applications for registration in the following states:

| | | | |
|-----------------|---------------|----------------|----------------|
| Alaska | Illinois | Mississippi | Pennsylvania |
| Alabama | Kansas | North Carolina | Rhode Island |
| Arkansas | Kentucky | North Dakota | South Carolina |
| Arizona | Louisiana | New Hampshire | Tennessee |
| California | Massachusetts | New Jersey | Utah |
| Colorado | Maryland | New Mexico | Virginia |
| Connecticut | Maine | New York | Washington |
| Washington D.C. | Michigan | Ohio | Wisconsin |
| Florida | Minnesota | Oklahoma | West Virginia |
| Georgia | Missouri | Oregon | |

Item 13

Theodore D. Abram
2636 Biehn Street - PO Box 1329
Klamath Falls, OR 97601-1768
(541) 273-6731 -x102

Thomas L. Willcox
210 Trails End
Greenville, SC 29607
(864) 292-3001

Whitney L. Ball
111 North Henry Street
Alexandria, VA 22314
(703) 535-3563

Adam Meyerson
1150 17th Street, NW Suite 503
Washington, D.C. 20036
(202) 822-8333

Carl Helstrom (Chairman)
654 Madison Avenue, # 1605
New York, NY 10021
(212) 687-7735

Lawrence W. Reed
140 W. Main Street
Midland, MI 48640-5156
(989) 631-0900

Gisèle Huff, PhD (Treasurer)
600 Montgomery Street, # 2800
San Francisco, CA 94111
(415) 705-5115

Tracie J. Sharp (President)
6255 Arlington Boulevard
Richmond, CA 94805-1601
(510) 965-9700

Barbara Wells Kenney (Secretary)
16245 SE 31st Street
Bellevue, WA 98008-5704
(425) 746-9862

Bridgett G. Wagner
214 Massachusetts Avenue NE
Washington, DC 20002
(202) 608-6050

Item 15**Individual(s) responsible for custody of funds:**

Gisèle Huff, PhD, Treasurer
600 Montgomery Street, # 2800
San Francisco, CA 94111
(415) 705-5115

Individual(s) responsible for distribution of funds:

Tracie J. Sharp, President
6255 Arlington Boulevard
Richmond, CA 94805-1601
(510) 965-9700

Gisèle Huff, PhD, Treasurer
600 Montgomery Street, # 2800
San Francisco, CA 94111
(415) 705-5115

Carl Helstrom, Chairman
654 Madison Avenue, # 1605
New York, NY 10021
(212) 687-7735

Barbara Wells Kenney, Secretary
16245 SE 31st Street
Bellevue, WA 98008-5704
(425) 746-9862

Lawrence W. Reed
140 W. Main Street
Midland, MI 48640-5156
(989) 631-0900

Individual(s) responsible for fundraising:

Tracie J. Sharp, President
6255 Arlington Boulevard
Richmond, CA 94805-1601
(510) 965-9700

Gisèle Huff, PhD, Treasurer
600 Montgomery Street, # 2800
San Francisco, CA 94111
(415) 705-5115

Carl Helstrom, Chairman
654 Madison Avenue, # 1605
New York, NY 10021
(212) 687-7735

Barbara Wells Kenney, Secretary
16245 SE 31st Street
Bellevue, WA 98008-5704
(425) 746-9862

Lawrence W. Reed
140 W. Main Street
Midland, MI 48640-5156
(989) 631-0900

Individual(s) responsible for custody of financial records:

Tracie J. Sharp, President
6255 Arlington Boulevard
Richmond, CA 94805-1601
(510) 965-9700

Gisèle Huff, PhD, Treasurer
600 Montgomery Street, # 2800
San Francisco, CA 94111
(415) 705-5115

Individual(s) authorized to sign checks:

Tracie J. Sharp, President
6255 Arlington Boulevard
Richmond, CA 94805-1601
(510) 965-9700

Gisèle Huff, PhD, Treasurer
600 Montgomery Street, # 2800
San Francisco, CA 94111
(415) 705-5115

Carl Helstrom, Chairman
654 Madison Avenue, # 1605
New York, NY 10021
(212) 687-7735

Bank in which registrant's funds are deposited (account number and bank phone number):

Bank of America
Account # 06938-02030
(510) 649-6600

Attachment B**Item 18, (A)**

State Policy Network receives financial support from foundations, public charities and 501(c)(4). None of the organizations supporting SPN are related to the organization. These organizations provided financial support to the SPN in the year ending December 31, 2005, as listed in State Policy Network's Form 990:

Hume Foundation
600 Montgomery Street, Suite 2800
San Francisco, CA 94111
Foundation

Sunmark Foundation
635 Maryville Centre Drive, Suite 105
St. Louis, MO 63141-5817
Foundation

Americans for Tax Reform
1920 L Street NW, Suite 200
Washington DC 20036
Nonprofit organization - 501(c)(4)

Jeld-Wen Foundation
PO Box 1329
Klamath Falls, OR 97601
Foundation

The Roe Foundation
712 Crescent Avenue
Greenville, SC 29601
Foundation

Claude R. Lambe Charitable Foundation
PO Box 2256
Wichita, KS 67201-2256
Foundation

The Vernon K. Kriebel
1777 South Harrison Street, Suite 807
Denver, CO 80210
Foundation

Donors Capital Fund
PO Box 1305
Alexandria, VA 22313
Public charity

Chase Foundation
300 Preston Ave
Charlottesville, VA 22902-5044
Foundation

Eli Lilly and Company Foundation
Lilly Corporate Center D.C. 1627
Indianapolis, Indiana 46285
Foundation

GFC Foundation
584 South State Street
Orem, UT 84058
Foundation

DonorsTrust
111 North Henry Street
Alexandria, VA 22314
Public charity

Achelis Foundation
767 Third Avenue, Fourth Floor
New York, NY 10017
Foundation

Citizens Against Government Waste
1301 Connecticut Ave, NW, Suite 400
Washington DC 20036
Public charity

A.P. Kirby, Jr. Foundation, Inc.
PO Box 90
Mendham, NJ 07945-0090
Foundation

Milton & Rose D. Friedman Foundation
One American Square, Suite 1750
Indianapolis, IN 46282
Foundation

Castle Rock Foundation
4100 East Mississippi Avenue, Suite 1850
Denver, CO 80246
Foundation

Charles G. Koch Charitable Foundation
655 - 15th Street NW, Suite 445
Washington DC 20005-5701
Foundation

South Carolina Policy Council
1323 Pendleton Street
Columbia, SC 29201
Public charity

Searle Freedom Trust
1150 Seventeenth Street NW, 11th Floor
Washington DC 20036-4670
Foundation

The Lynde & Harry Bradley Foundation
1241 North Franklin Place
Milwaukee, WI 53202-2901
Foundation

JM Foundation
654 Madison Avenue, Suite 1605
New York, NY 10021
Foundation

The Philanthropy Roundtable
1150 17th Street, NW
Suite 503
Washington, D.C. 20036
Public charity

New Hope Foundation
PO Box 209
Muscatine, IA 52761
Foundation

Institute for Justice
901 N. Glebe Road, Suite 900
Arlington, VA 22203
Public charity

Attachment C**Item 20**

ClearWord Communications Group, Inc., 12841 Braemar Village Plaza, #51, Bristow, VA 20136; designs and manages direct mail campaigns for recruiting and retaining members; we pay ClearWord Communications a per project fee of \$150 per thousand pieces mailed, with a \$3,500 minimum fee; our contract with ClearWord Communications is from November 1, 2006 to October 31, 2007; the campaign will begin in late January 2007; ClearWord Communications Group will not solicit, receive or control donated funds, all replies come directly to the State Policy Network Arlington, VA office.