**Form CHAR004**

**Annual Filing for Charitable Lead Trusts**
New York State Department of Law (Office of the Attorney General)
Charities Bureau - Registration Section
28 Liberty Street
New York, NY 10005
www.charitiesnys.com

**Part A - General Information**

1. For the period beginning (mm/dd/yyyy) _____ / 2013 and ending (mm/dd/yyyy) _________

2. Check if applicable for NYS:
   - Address change
   - Name change
   - Initial filing
   - Final filing
   - Amended filing
   - NY registration pending

3. Name of charitable lead trust

4. Number and street (or P.O. box if mail not delivered to street address) Room/suite

5. Fed. employer ID no. (EIN) (##-#######)

6. NY State registration no. (##-##-##)

7. Telephone number

8. Email address

**Part B - Certification - Signature Required**

I certify under penalties of perjury that I reviewed this report, including all attachments, and to the best of our knowledge and belief, they are true, correct and complete in accordance with the laws of the State of New York applicable to this report.

Authorized Representative

Signature

Printed Name

Title

Date

**Part C - Fee Submitted**

Indicate the EPTL filing fee you are submitting along with this form:

- Total amount distributed to charity is less than $50,000: $25
- Total amount distributed to charity is $50,000 or more, but less than $250,000: $50
- Total amount distributed to charity is $250,000 or more, but less than $1,000,000: $100
- Total amount distributed to charity is $1,000,000 or more, but less than $10,000,000: $250
- Total amount distributed to charity is $10,000,000 or more, but less than $50,000,000: $750
- Total amount distributed to charity is $50,000,000 or more: $1500

**Submit only one check or money order for the total fee, payable to “NYS Department of Law”**

**Part D - Attachments - All Documents Required**

Attach a copy of the trust’s IRS Form 5227 (Split Interest Trust Information Return)

**Part E - Financial Report**

**DISTRIBUTION TO CHARITY (to line vii)**

<table>
<thead>
<tr>
<th>Beneficiary</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$</td>
</tr>
<tr>
<td></td>
<td>$</td>
</tr>
<tr>
<td></td>
<td>$</td>
</tr>
<tr>
<td></td>
<td>$</td>
</tr>
<tr>
<td><strong>Total Distributions to Charity</strong></td>
<td>$</td>
</tr>
</tbody>
</table>

**DISTRIBUTION TO OTHERS (to line viii)**

<table>
<thead>
<tr>
<th>Beneficiary</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$</td>
</tr>
<tr>
<td></td>
<td>$</td>
</tr>
<tr>
<td></td>
<td>$</td>
</tr>
<tr>
<td></td>
<td>$</td>
</tr>
<tr>
<td><strong>Total Distributions to Others</strong></td>
<td>$</td>
</tr>
</tbody>
</table>

---

Mail completed form with required fee and attachments to the address at the top of this page.

Page 1 of 1